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"Express Mail" mailing label number EL746758856US

Date of Deposit: May 17, 2002

Case No. 3614/63

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alan Y. Chow and Vincent
Y. Chow

Serial No.: 10/056,793

Attention: Manager,
Application Branch

Filed: January 23, 2002

For: METHODS FOR
IMPROVING DAMAGED
RETINAL CELL
FUNCTION

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date
Granted dated March 18, 2002, a copy of which is attached, enclosed herewith for
filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for
the above-referenced patent application.
- ☒ Applicant is:
 - ☒ a small entity, verified statement is:
 - ☐ attached
 - ☐ already filed
 - ☐ other than small entity
- ☒ Checks totaling \$1199.00 for:

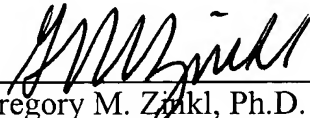


- ☒ Filing Fee of \$370.00.
- ☒ Surcharge of \$65.00.
- ☒ Additional Claim Fees of \$764.00.

- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☐ Other: _____.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: 5/17/02



Gregory M. Zinkl, Ph.D.
Registration No. 48,492
Agent for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
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rev. Oct.-01
Document9

Date of Deposit: May 17, 2002

TRANSMITTAL LETTER

Case No.
3614/63Serial No.
10/056,793Filing Date
January 23, 2002

Examiner

Group Art Unit
3762

Inventor

Alan Y. Chow and Vincent Y. Chow

Title of Invention

METHODS FOR IMPROVING DAMAGED RETINAL CELL FUNCTION

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in Duplicate); Response to Notice to File Missing Parts of Application Filing Date Granted; Power of Attorney; Declaration; Check for \$1199.00; and return-receipt postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total	30	Minus	50	0
Indep.	2	Minus	21	0
First Presentation of Multiple Dep. Claim				

Small Entity

Rate	Add'l Fee
x \$9=	
x 39=	
+\$130 =	
Total add'l fee	\$

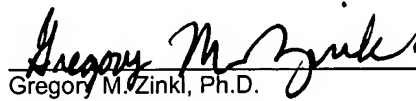
or

Other Than Small Entity

Rate	Add'l Fee
x \$18=	
x \$78=	
+ \$260=	
total add'l fee	\$ 0

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Gregory M. Zinkl, Ph.D.
Registration No. 48,492
Agent for Applicants

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P.O. BOX 10395
CHICAGO, ILLINOIS 60610 - (312) 321-4200

#3



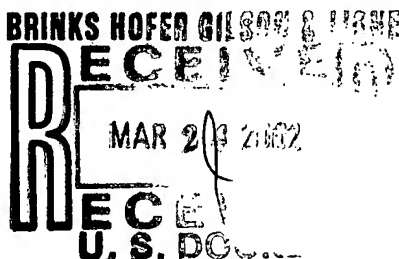
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/056,793	01/23/2002	Alan Y. Chow	3614/63

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610



CONFIRMATION NO. 9445

FORMALITIES LETTER



OC000000007663691

Date Mailed: 03/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1528.
 - \$1080 for 60 total claims over 20.
 - \$168 for 2 independent claims over 3.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2398.**

10/056,793 01/23/2002

370.00 US
276.00 US
04.00 US
02.00 US
01.00 US

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY